

## Planner Profile

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**Adrian James** Dip PFS  
Independent Financial Adviser

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### Principals:

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- Personable & conscientious
  - Ethical & trusted
  - Client focused
  - Goal/objective focused for clients
  - Sound technical knowledge
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*“I provide a comprehensive review service for my clients, and advise them on how to best achieve their desired outcomes.”*

### About:

Adrian has worked in Financial Services for over 30 years. His career began with Nat West Bank in 1988 before leaving in 1999 to join Legal & General as a Business Development Manager supporting Independent Financial Advisers with their client planning requirements, mainly in the South East, South West & Wales regions.

He left Legal & General in 2010 to join Zurich Assurance again as a Business Development Manager and then ran his own consultancy reviewing Defined Benefit (Final Salary) pension advice which had been provided by advisers employed by HSBC.

The HSBC review lasted 3 years, following which, Adrian re-joined Legal & General as a regulated advice reviewer in their Compliance department for 12 months before joining Aspira Corporate Solutions Limited in Aust, Bristol as their G60 Pension Transfer Specialist.

In July 2017, Adrian joined Mulberry Wealth Management as a fully Independent Financial Adviser, specialising in retirement planning and holistic financial planning for clients taking in to account all of their circumstances.

Adrian has built his community of clients mainly through his expertise in pensions, retirement planning and tax efficient investment advice. He has developed his business alongside his partner Alison Davis, through a trusted approach to financial planning.

### Professional Standing:

Certified Financial Planner  
Pensions Transfer specialist  
Diploma in Financial Planning

### Qualifications:

FP1 Financial planning certificate 1  
FP2 Financial Planning Certificate 2  
FP3 Financial Planning Certificate 3  
G60 Occupational Pension Planning  
J02 Taxation & Trusts  
J05 Pension Income Options  
J06 Investment Principles Markets and environment  
CF1 UK Financial services regulation and ethics  
CF2 Investment and Risk  
CF4 Retirement planning  
CF5 Integrated financial planning

